

Grant and Cooperative Agreement

CHOOSE ONE:

- COOPERATIVE AGREEMENT
 GRANT

CHOOSE ONE: EDUCATION FACILITIES RESEARCH SDCR TRAINING

1. GRANT/COOPERATIVE AGREEMENT NUMBER P14AP00016	2. SUPPLEMENT NUMBER	3. EFFECTIVE DATE 01/09/2014	4. COMPLETION DATE 09/30/2015
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5. ISSUED TO
 NAME/ADDRESS OF RECIPIENT (No., Street, City/County, State, Zip)
 HISTORICAL & MUSEUM COMMISSION, PEN
 Attn: ATTN GOVERNMENT POC
 300 NORTH ST
 HARRISBURG PA 17120-0101

6. ISSUED BY NPS, Historic Preservation Grants D
 Mailing Address: 1201 I Street NW
 Org. Code 2256
 Washington DC 20005

7. TAXPAYER IDENTIFICATION NO. (TIN)

9. PRINCIPAL INVESTIGATOR/ORGANIZATION'S PROJECT OR PROGRAM MGR. (Name & Phone)
 Serena Bellew, Director, Bureau for Historic Pres
 717-705-4035, sbellew@pa.gov

8. COMMERCIAL & GOVERNMENT ENTITY (CAGE) NO.

10. RESEARCH, PROJECT OR PROGRAM TITLE
 HPF Emergency Supplemental Hurricane Sandy Relief
 Pennsylvania

11. PURPOSE

12. PERIOD OF PERFORMANCE (Approximately)
 12/01/2013 through 09/30/2015

13A.	AWARD HISTORY	13B.	FUNDING HISTORY
PREVIOUS	\$0.00	PREVIOUS	\$0.00
THIS ACTION	\$1,500,000.00	THIS ACTION	\$1,500,000.00
CASH SHARE	\$1,500,000.00	TOTAL	\$1,500,000.00
NON-CASH SHARE	\$0.00		
RECIPIENT SHARE	\$0.00		
TOTAL	\$1,500,000.00		

14. ACCOUNTING AND APPROPRIATION DATA
 01

PURCHASE REQUEST NO.	JOB ORDER NO.	AMOUNT	STATUS
0020039358			

15. POINTS OF CONTACT

	NAME	MAIL STOP	TELEPHONE	E-MAIL ADDRESS
TECHNICAL OFFICER				
NEGOTIATOR				
ADMINISTRATOR				
PAYMENTS				

16. THIS AWARD IS MADE UNDER THE AUTHORITY OF :
 P.L. 113-2

17. APPLICABLE STATEMENT(S), IF CHECKED: <input type="checkbox"/> NO CHANGE IS MADE TO EXISTING PROVISIONS <input type="checkbox"/> FDP TERMS AND CONDITIONS AND THE AGENCY-SPECIFIC REQUIREMENTS APPLY TO THIS GRANT	18. APPLICABLE ENCLOSURE(S), IF CHECKED: <input type="checkbox"/> PROVISIONS <input checked="" type="checkbox"/> SPECIAL CONDITIONS <input type="checkbox"/> REQUIRED PUBLICATIONS AND REPORTS
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UNITED STATES OF AMERICA	COOPERATIVE AGREEMENT RECIPIENT
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CONTRACTING/GRANT OFFICER JAMES TUCKER	DATE 2/13/14	AUTHORIZED REPRESENTATIVE 	DATE
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Grant and Cooperative Agreement

ITEM NO. (A)	ITEM OR SERVICE (Include Specifications and Special Instructions) (B)	QUANTITY (C)	UNIT (D)	ESTIMATED COST	
				UNIT PRICE (E)	AMOUNT (F)
00010	<p>CFDA Number: 15.957 DUNS Number: 796573954+0000 Suggested Vendor: Suggested Grants Specialist: Header Text: Pennsylvania SHPO - Sandy Relief HPF. POC: Hampton Tucker @(202) 354-2067. email address: hampton_tucker@nps.gov Delivery: 09/30/2020 Delivery Location Code: 0009060784 NPS, Historic Preservation Grants 1201 I Street NW Washington DC 20005 US</p> <p>Account Assignment: K G/L Account: 6100.411G0 Business Area: P000 Commitment Item: 411G00 Cost Center: PPWOCRGRPA Functional Area: PHH00HHHS.GR0000 Fund: 135P1046HS Fund Center: PPWOCRGRPA Project/WBS: PX.GCRGRHSPA.00.1 PR Acct Assign Line: 01 Period of Performance: 12/01/2013 to 09/30/2015</p> <p>Pennsylvania SHPO - Sandy Relief HPF Obligated Amount: \$1,500,000.00 IT Approval Num: N</p> <p>The total amount of award: \$1,500,000.00. The obligation for this award is \$1,500,000.00.</p>				1,500,000.00

Hurricane Sandy HPF Grant Agreement
Pennsylvania State Historic Preservation Office

The grantee shall not assign or otherwise transfer final responsibility for this Grant Agreement, the grant, or the project to any third party. The execution of subcontracts shall not alter or modify the obligations of the grantee. However, the grantee may subcontract for performance of project-related work summarized in this Grant Agreement. The grantee must administer this grant award free from conflict of interest, bribery, "kickbacks," cost-plus-a-percentage-of-costs contracts, and other procurement practices prohibited by 43 CFR 12.944 and as described in the Historic Preservation Fund Grants Manual (2007 revision).

SHPO	Special Conditions:
SCB	<u>#1, Scope of Work and Budget:</u> The funds provided by this grant are intended to preserve the historic and archeological resources impacted by Hurricane Sandy. P.L. 113-2 stipulates that this funding shall be used for the preservation, stabilization, rehabilitation, and repair of historic properties damaged by Hurricanes Sandy in federally declared disaster locations per the Stafford Act, and listed in or considered eligible for listing in the National Register of Historic Places. Non-Federal matching share is not required for this grant award; however, as part of the reporting requirements to the NPS it must be tracked.
SCB	<u>#2, OMB Administrative Requirements:</u> The requirements of the <i>Historic Preservation Fund Grants Manual (HPF Grants Manual)</i> shall apply to this grant award except where its provisions are specifically superseded by 43 CFR 12, Subpart C, Uniform Administrative Requirements for Grants to State and Local Governments. All Assurances of Compliance required by Chapter 7 of the <i>HPF Grants Manual</i> must be submitted to NPS. Evidence of compliance with any E.O. 12372 process established by the State must also be submitted to NPS, unless the State's Governor has determined that the HPF grant is not included in the programs subject to review and comment by the Single Point of Contact.
SCB	<u>#3, Limitations on Grant Expenditures and Preagreement Costs:</u> The term of availability of these grant funds is from December 1, 2013, through September 30, 2015. All costs incurred must be billed to NPS within 90 days of the end date of this agreement as amended. This Grant Agreement authorizes preagreement costs approved by the SHPO beginning October 22, 2012. All costs must be incurred by the end date specified above (unless the Grant Agreement's end date is formally extended in writing by NPS). Such expenditures may not exceed the maximum limits shown on this grant award, or amendments subsequently approved in writing by NPS.
SCB	<u>#4, Eligible Activities:</u> Funds shall be used for eligible predevelopment or development work, eligible review and compliance activities, and National Register nomination work as defined in Chapter 6, Sections J, I, K, M and O of the <i>HPF Grants Manual</i> . All of the activities defined in those sections apply unless specifically stated to the contrary in this grant agreement (see Special Condition #5, Ineligible Activities). Funds may also be used for workshops or individual technical assistance and advice to local government officials and property owners about preserving historic resources affected by the hurricanes, or for the recordation and survey of damaged structures in accordance with Chapter 6, Section D of the <i>HPF Grants Manual</i> . Only damage resulting from Hurricane Sandy is eligible for assistance, and all development work must only be directed to properties listed or eligible for listing in the National Register of Historic Places. Additionally, this funding may be used to: 1) hire additional term, temporary, or contractor staff to enable the SHPOs to expeditiously conduct the reviews of all Federally funded or sponsored projects required by Section 106

	<p>of the National Historic Preservation Act</p> <p>2) offer preservation technical assistance to local government officials and to owners of properties listed in or considered eligible by the State Historic Preservation Officer for listing in the National Register of Historic Places</p> <p>3) develop architectural/engineering plans and specifications, economic feasibility studies, survey and damage assessments, construction work to stabilize and repair historic and archeological properties, and survey of hurricane-damaged properties.</p>
SCB	<p><u>#5, Ineligible Activities:</u> Acquisition of real property is not an eligible cost for assistance from this emergency funding. Reconstruction is limited to portions of a historic property that still retain (prior to reconstruction) sufficient significance and integrity to remain listed in the National Register. Total reconstructions are not eligible for grant assistance. If specific features or elements of a building or landscape are missing and thus need to be recreated, this work is potentially eligible for funding (provided adequate historical documentation is available). Major reconstruction projects, such as recreating a building or landscape that has been completely destroyed, are not eligible for grant assistance because vanished structures, by definition, have lost their integrity and therefore are no longer eligible for the National Register of Historic Places, or for grant assistance.</p>
SCB	<p><u>#6, Properties Prohibition:</u> In accordance with Justice Department policy regarding separation of Church and State, no development projects for properties operated or owned religious institutions may be funded with HPF grant assistance. The Justice Department issued a legal opinion in 2003 that authorized the award of Save America's Treasures grants to nationally significant religious structures. This opinion did not, however, extend authorization to other HPF grant activities. Further, section 101(e)(4) of the National Historic Preservation Act prohibits grant assistance for the acquisition of religious properties.</p> <p>Additionally, federally owned properties are not eligible for assistance from this grant (see Chapter 13, Part D.14 of <i>The Historic Preservation Fund Grant Manual</i>).</p>
SCB	<p><u>#7, Equipment Purchases Exceeding \$5,000:</u> Prior to the expenditure of grant funds, grantees must submit a request for written NPS authorization for any equipment purchase not specifically listed in this grant application with an individual unit cost of more than \$5,000. Grantees must maintain a property inventory record and comply with the property management requirements of OMB Circular A-102 (as codified in 43 CFR 12.71-73) and the HPF Grants Manual, Chapter 19, for all equipment purchased with HPF grant funds.</p>
SCB	<p><u>#8, Distribution of Time:</u> Personnel costs charged to the HPF grant for employees who work on more than one Federal grant program (e.g., an HPF grant and a National Endowment for the Humanities grant), or who work partly on HPF-eligible work and partly on non-eligible work, must be documented by time distribution records that support the number of hours and the corresponding personnel costs charged to the HPF grant, in accordance with OMB Circular A-87, Attachment B, Item 11.h., and with Chapter 13, Section B.12.h. of the HPF Grants Manual.</p>
SCB	<p><u>#9, Limitation on Administrative and Indirect Costs:</u> No more than 5% of the grant award may be used for administrative costs. This limitation applies to the sum of the direct costs of administration and any indirect costs charged by the State pursuant to a current federally approved indirect cost rate.</p> <p>Administrative costs are those costs defined in the HPF Grants Manual, Chapter 6, Section F.1, and in Chapter 7, Exhibit 7-B. Note: This limitation applies to SHPO administrative costs only; it does not apply to the administrative costs incurred by subgrantees.</p>

SG3	<p><u>#10, Lobbying Prohibition:</u> None of the funds awarded may be used to process any grant or contract documents, which do not include the text of 18 U.S.C. 1913 prohibiting lobbying with appropriated funds. Recipients shall not use any part of the appropriated funds for any activity or for the publication or distribution of literature that in any way tends to promote public support or opposition to any legislative proposal on which Congressional action is not complete.</p>
SG3	<p><u>#11 Procurement and Reimbursement:</u> All procurement procedures must follow the minimum Federal requirements outlined in 43 CFR 12.76. However, if a State's governing authority has suspended competitive bidding requirements for State agencies because of the hurricane emergency, then NPS will concur with the State's procurement procedures. In addition, public health and safety emergency situations may justify noncompetitive procurement awards if the State's Contracting Officer includes a justification in the SHPO's files. Prospective bidders must be aware of the involvement of Federal funds and that consequent applicable Federal and State requirements must be met. Additionally, no funding from this program may be advanced into a project without approval from the NPS. The eligible grant expenses should be made on a reimbursement-only basis to a subgrantee.</p>
SG3	<p><u>#12 Obligation of Subgrant Awards:</u> Funds not obligated within one year from the start date of the grant agreement are subject to recapture and reallocation to other grantees. NPS will use the Disaster Recovery Interim Progress Report described in Special Condition #13, below, to assess the State's use and expenditure of funds. NPS may recapture and reallocate funds to other grantees if a State is not making prompt and effective use of its grant.</p>
SG3	<p><u>#13, Performance Reports:</u> Interim Performance Reports must be submitted to NPS on a quarterly basis during the grant period, with the initial Performance Report due not later than three months from the beginning date of this agreement. An acceptable Final Performance Report must be submitted within 90 days of the end date of this agreement or as amended. Quarterly Interim Reports and the Final Report must include the SF-425, <i>Federal Financial Report</i>, which is used to document financial obligations and outlays during the previous reporting period. Failure to submit acceptable progress reports by the dates specified, and in the format prescribed by NPS, may result in suspension of funds or other action. NPS requires that the grantee keep photographic records of all grant funded work. The Final Report must include no less than two images of every project completed with this grant funding.</p> <p>The goals of this grant program include expediting the repair of damaged communities and assisting residents to return to their homes, communities, and businesses. To that end, the NPS encourages States to include photographs submitted with the Final Performance Report that document both properties repaired with this grant assistance, as well as representation of residents, families and businesses, that have returned to their communities as a result of this grant assistance.</p> <p>Quarterly Interim and Final Reports should be sent to: Joshua Wilks National Park Service 1201 I (Eye) Street, NW, 6th Floor (Org. Code 2256) Washington, DC 20005</p> <p>The grantee agrees to maintain close liaison with the NPS Grant Awarding Official throughout the grant period. NPS reserves the right to request meetings, upon reasonable notice, with grantee project staff at intervals during the course of project work.</p>
SG3	<p><u>#14, Project Notifications:</u> Project Notifications for each subgrant/contract exceeding \$25,000 Federal share from this award, or involving National Historic Landmarks regardless of the amount of the Federal share, must be submitted to NPS at least 20 calendar days prior to the award of the subgrant/contracting accordance with Chapter 8, Section F, of the HPF Grants Manual. All Project</p>

	<p>Notifications must include the SHPO certification required by Chapter 8, Exhibit 8-A, of the <i>HPF Grants Manual</i> (as applicable to the type of project), must specify the proposed outcome to be achieved with the grant, must be entered into the Project Notification tracking chart (provided by the NPS), and must supply at minimum two images of the resource.</p>
SCB	<p>#15, Final Project Reports: Acceptable Final Project Reports from all subgrant projects exceeding \$25,000 Federal share, or involving National Historic Landmarks regardless of the amount of the Federal share, must be submitted to NPS within 90 calendar days of the completion of each subgrant, in accordance with Chapters 3 and 25 of the <i>HPF Grants Manual</i>. Failure to comply will result in suspension payments and/or other sanctions in accordance with Chapter 22 of the <i>HPF Grants Manual</i>. All final project reports must be submitted to NPS using the Project Notification tracking chart and must provide at minimum two images of the completed grant funded work.</p>
SCB	<p>#16, Debarment and Suspension: In accordance with Executive Order 12549 "Debarment and Suspension" the grantee and its subgrantees must not make any award or permit any award (by subgrant or contract) at any tier to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549.</p>
SCB	<p>#17, Financial Audit. The grantee shall obtain an audit of grant expenditure records in accordance with OMB Circular A-133, which requires a Single Agency Audit for any grantee who expends at least \$500,000 of Federal grant funds (from all sources) in a fiscal year. The single audit must be performed in accordance with <i>Government Auditing Standards</i> (the Yellow Book) and cover the entire grant operations. The grantee is responsible for making sure a completed SF-SAC (Data Collection Form for Reporting on Audits of States, Local Governments, and Non-Profit Organizations) and Single Audit reporting package is submitted to the Federal Audit Clearinghouse within 30 days after receipt of the auditor's report or 9 months after the end of the State's fiscal year (i.e., if the State's fiscal year end date is June 30, then the 9 month due date is March 30), or whichever is earlier. The Single Audit reporting package includes a completed: Form SF-SAC, Financial Statement(s), Schedule of expenditures of Federal Awards, Summary Schedule of Prior Audit Findings, Opinion on Financial Statements, Report on Internal Control-GAS, Report on Compliance-GAS, Report on Internal Control-A-133, Report on Compliance-A-133, Schedule of Findings and Questioned Costs, Corrective Action Plan (if findings) and Opinion or Disclaimer of Opinion on Schedule of Federal Awards. The Form SF-SAC must be signed by a senior level representative of the grantee and the auditor must also sign the form. The Form SF-SAC and Single Audit reporting package must be submitted on-line. The audit package must be submitted to the clearinghouse by on-line submission to: http://harvester.census.gov/fac/collect/ddeindex.html. Basic questions regarding the submission or processing of your Single Audit package should be directed to the Federal Audit Clearinghouse at: (800) 253-0696 or e-mail: govs.fac@census.gov.</p> <p>A reasonable proportion of the costs of an acceptable audit performed may be charged to this grant. Failure to comply with this Special Condition may result in withholding of payments or other sanctions as appropriate.</p>
SCB	<p>#18, Record Keeping: The grantee must maintain the property, personnel, financial, procurement and other records and accounts pertinent to the funds awarded by this grant in accordance with 43 CFR 12. The grantee, and its contractors will permit on-site inspections by NPS representatives, and will effectively require property owners, employees and board members to furnish such information as, in the judgment of NPS representatives, may be relevant to a question of compliance with grant conditions and directives on the effectiveness, legality and achievements of project work.</p>
SCB	<p>#19, Access to Records: The Secretary of the Interior and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access for the purpose of financial</p>

	or programmatic audit and examination to any books, documents, papers, and records of the grantee that are pertinent to the grant at all reasonable times during the period of retention provided for in 43 CFR 12.
SCB	<u>#20, Publicity and Press Releases:</u> Press releases about this project must acknowledge the grant assistance provided by the Historic Preservation Fund of the National Park Service; and copies of the press releases must be provided to NPS. The grantee must transmit notice of any public ceremonies planned to publicize the project or its results in a timely enough manner so that NPS, Department of the Interior, Congressional or other Federal officials can attend if desired.
SCB	<u>#21, Publications:</u> The grantee must include acknowledgment of grant support from the Historic Preservation Fund hurricane grant program of the National Park Service, and a nondiscrimination statement in all publications and videos assisted with grant monies and/or concerning NPS grant-supported activities. At least two copies of each publication and video concerning NPS grant-assisted activities, or published with NPS grant assistance, must be furnished to the NPS Grant Awarding Official within 30 calendar days of publication. All publications, including video and audio tapes, must contain the following disclaimer and acknowledgement of NPS support: <i>This material is based upon work assisted by a grant from the Department of the Interior, National Park Service. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the Department of the Interior.</i> All consultants hired by the grantee must be informed of this requirement. The National Park Service shall have a royalty-free right to republish any published material generated by this grant.
SCB	<u>#22, Prior Approval Requirements:</u> The grantee may not, without written approval by the NPS grant awarding official (that official whose signature/title appears on the grant award document, or his designee), make changes in the approved scope of work or budget that would substantively alter the approved scope of work (43 CFR 12.925).
SCB	<u>#23, Approved Budget and Scope of Work:</u> Please see appendix A of this grant agreement to find the approved state action plan and SF-424A & SF-424C forms. These documents outline the approved scope and budget for this grant.
SCB	<u>#24, Conformance to the Secretary of the Interior's Standards:</u> The subgrantee must submit documents for grant funded work (the undertaking) to the SHPO for review and approval to ensure conformance with <i>the Secretary of the Interior's Standards for the Treatment of Historic Properties</i> , and with the conditions listed in the grant agreement prior to the beginning of grant-assisted repair work at the property. In addition, if the project was completed prior to the subgrant selection due to the recovery needs of historic resources in the immediate wake of the disaster, the SHPO's office will document and verify, with the assistance of the subgrantee, that the completed project conforms to the applicable Standard. Work that does not comply with these Standards in the judgment of the SHPO will not be reimbursed, and may cause the grant to be terminated and any expended funds to be returned.
SCB	<u>#25, Compliance with Section 106 of the National Historic Preservation Act:</u> Pursuant to Section 106 of the National Historic Preservation Act, the NPS has agreed that SHPO/THPOs for this Emergency Supplemental HPF grant assistance must initiate consultation and must complete the consultation process stipulated in the regulations issued by the Advisory Council for Historic Preservation (ACHP) in 36 CFR 800 prior to the commencement of all grant-assisted construction, ground disturbance or project planning. It shall be understood that NPS remains ultimately responsible for all findings and determinations. SHPO/THPO must retain proof of public notice and a request for consultation for every selected subgrantee in the SHPO/THPO project files. Subgrantees will be required to submit documents to the SHPO/THPO for determination of effects to historic properties. Review documentation will remain with each subgrant file until such time as the subgrantee submits a final report and photographs for work to the SHPO/THPO to confirm that

	<p>all work completed was done as required. All documentation should conform to 800.11 of the 36 CFR Part 800. Documentation of proper review by SHPO/THPO staff for compliance with the Standards must be available to NPS at all times while the grant remains open. In addition, subgrantees must comply with those recommendations stated in the Historic Preservation Fund Grants Manual Chapter 8, Section D "Standards Applicable to Subgrantees." Determinations of Adverse Effect will cause terminations of subgrantee projects however in cases of cumulative adverse effects there may be a need to development of Memorandum of Agreement (MOA) with SHPO/THPO, NPS, and ACHP.</p>
SGB	<p><u>#26. Development of Unanticipated Discovery Protocols</u> At a minimum, these unanticipated discovery protocols shall require the sub-grantee or contractor to immediately stop construction in the vicinity of the affected historic resource and take reasonable measures to avoid and minimize harm to the resource until the SHPO or THPO, sub-grantee or contractor, and Indian Tribes, as appropriate, have determined a suitable course of action within 15 calendar days. With the express permission of the SHPO and/or THPO, the sub-grantee or contractor may perform additional measures to secure the jobsite if the sub-grantee or contractor determines that unfinished work in the vicinity of the affected historic property would cause safety or security concerns.</p>
SGB	<p><u># 27. Compliance with Section 110(k) of the National Historic Preservation Act:</u> Pursuant to Section 110(k) of the National Historic Preservation Act, the SHPO has agreed that subgrantees shall not be granted assistance if demolition has occurred in anticipation of the requirements of Section 106 (see Special Condition #25).</p>
SGB	<p><u>#28. Disclosure of Federal Historic Preservation Tax Credit:</u> Work approved under this grant shall in no way inhibit or preclude others from applying for federal assistance through other programs overseen or reviewed by NPS, such as the Federal Historic Preservation Tax Incentive for Income Producing Structures. It shall be understood that approval through this grant funding is not transferrable to other NPS or NPS sponsored programs. Subgrantees should understand that work performed under this grant program may impact other work approvals or potential tax credits.</p>
SGD	<p><u>#29. Requirement for Project Sign:</u> Each subgrantee must erect and maintain a project sign at the project site. This sign must: be of reasonable and adequate design and construction to withstand weather exposure; be of a size that can be easily read from the public right-of-way; and be maintained in place throughout the project term as stipulated in this Grant Agreement. At a minimum, the sign must represent that funding is being provided by the National Park Service, Department of the Interior. Additional information identifying the historical significance of the property and recognizing other contributors is encouraged and permissible. The cost of fabricating and erecting this sign is an eligible cost for this grant.</p>
SGB	<p><u>#30. Requirement for Executing Preservation Easement/Covenant:</u> Section 102(a)(5) of the National Historic Preservation Act requires that HPF grantees must agree to assume, after the completion of the project, the total cost of continued maintenance, repair and administration of the grant-assisted property in a manner satisfactory to the Secretary of the Interior.</p> <p>Accordingly, the owners of properties receiving grant assistance must sign a Preservation Easement/Covenant with the State Historic Preservation Officer. The term of the Easement/Covenant must meet the following minimal standards dependent on grant funding levels:</p> <ul style="list-style-type: none"> ▪ Up to \$50,000 a five year covenant/easement ▪ \$50,001 up to \$99,999 a ten year covenant/easement ▪ 100,000 and above a twenty year covenant/easement

		The Easement/Covenant must be executed by registering it with the deed of the property. All Easements/Covenants should be recorded with the property prior to the release of HPF funding.
SCB		<p><u>#31, Required Documentation for the Subgrant files:</u> At minimum the NPS requires the following documentation be retained in each subgrant project file:</p> <ul style="list-style-type: none"> ▪ Complete grant application with a scoring sheet ▪ National Register listing data and/or determination of eligibility ▪ Existing conditions documentation including images providing clear evidence of Hurricane Sandy damage ▪ Signed and recorded Easement/Covenant ▪ Documentation and review for compliance with the Secretary of Interior Standards for the Treatment of Historic Properties ▪ Determination of Effect statement for compliance with Section 106 (note if Adverse Effects are identified the procedures outlined in the Programmatic Agreement must be followed). Note: Section 106 documentation should also include proof of consultation/outreach. ▪ Proof of project sign with appropriate funding credit ▪ Site visit reports that include images showing the in-progress and completed grant funded work and provide narrative reviews of the project work. ▪ Signed and dated Construction and/or Non-Construction Assurances ▪ Environmental Certification ▪ Proof of procurement and financial documentation of all grant funded expenses with a project budget and scope
SCB		<p><u>#32, Disaster Planning:</u> The state will be required to address cultural resource disaster planning, response, and recovery in its Comprehensive Statewide Historic Preservation Plan, as required in Section 101(b)(3)(c) of the NHPA. This includes, but is not limited to: a review of their existing disaster response and recovery plans, partnerships, and procedures; identifying those areas/historic resources/cultural collections, etc. most vulnerable to and in need of additional historic preservation assistance and expertise in disaster planning, response, and recovery; and actively working with their statewide emergency management agencies/organizations to ensure cultural resources are properly considered in all state and local hazard mitigation planning efforts.</p>
SCB		<p><u>#33, Buy American Act:</u> The purchase of supplies, equipment, and construction materials with grant assistance must comply, to the greatest extent practicable, with the requirements of 43 CFR 12, Subpart E. The grantee's designated awarding official is responsible for determining whether items are available from domestic sources and that costs would be reasonable. When the State uses the exceptions specified in 43 CFR 12.710(d) and (e), and 43 CFR 12.715, it must document the situation in its files.</p>
SCB		<p><u>#34, ASAP Payment System. Method of Payment.</u> Payment will be made by advance or reimbursement through the Department of Treasury's ASAP system.</p> <ol style="list-style-type: none"> a) <u>Requesting Reimbursement.</u> Reimbursements are the preferred method of payment. Requests for reimbursements must be submitted via the ASAP system. Requests for reimbursement should coincide with normal billing patterns. Each request must be limited to the amount of disbursements made for the Federal share of direct project costs and the proportionate share of allowable indirect costs incurred during that billing period. b) <u>Requesting Advances.</u> Requests for advances must be made submitted via the ASAP

		<p>system. If feasible, each request should be timed so that payment is received on the same day that the funds are dispersed for direct project costs and/or the proportionate share of any allowable indirect costs. If same-day transfers are not feasible, advance payments must be as close to actual disbursements as administratively feasible.</p> <p>c) <u>Adjusting payment requests for available cash.</u> Funds that are available from repayments to, and interest earned on, a revolving fund, program income, rebates, refunds, contract settlements, audit recoveries, credits, discounts, and interest earned on any of those funds must be disbursed before requesting additional cash payments.</p> <p>d) <u>Bank Accounts.</u> All payments are made through electronic funds transfer to the bank account identified in the U.S. Treasury ASAP payment system by the grant recipient.</p> <p>e) <u>Supporting Documents and Agency Approval of Payments.</u> Additional supporting documentation and prior Agency (NPS) approval of payments may be required when/if a grant recipient is determined to be "high risk" or has performance issues. If prior Agency payment approval is in effect for an award, the ASAP system will notify the grant recipient when they submit a request for payment. The Recipient must then notify the NPS Awarding Officer identified on the Grant Agreement that a payment request has been submitted. The NPS Awarding Officer may request additional information from the recipient to support the payment request prior to approving the release of funds, as deemed necessary. The grant recipient is required to comply with these requests. Supporting documents may include invoices, copies of contracts, vendor quotes, and other expenditure explanations that justify the reimbursement requests.</p>
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**Hurricane Sandy HPF Grant Agreement
Amendment -- Special Condition #6**

Pennsylvania Historical and Museum Commission
Pennsylvania State Historic Preservation Office

The grantee shall not assign or otherwise transfer final responsibility for this Grant Agreement, the grant, or the project to any third party. The execution of subcontracts shall not alter or modify the obligations of the grantee. However, the grantee may subcontract for performance of project-related work summarized in this Grant Agreement. The grantee must administer this grant award free from conflict of interest, bribery, "kickbacks," cost-plus-a-percentage-of-costs contracts, and other procurement practices prohibited by 43 CFR 12.944 and as described in the Historic Preservation Fund Grants Manual (2007 revision).

SHPO	Special Condition:
SGB	<p>#6, Properties Prohibition: Religious institutions may participate in the Hurricane Sandy Disaster Relief Grant Program consistent with the National Historic Preservation Act (NHPA) Section 101(e)(4) (16 U.S.C. §470a(e)(4)), which authorizes certain grants for religious properties listed in the National Register of Historic Places. Religious properties listed in the National Register are eligible to participate in this grant assistance program because the federal government has a strong interest in preserving all sites of historic significance regardless of their religious or secular character; because eligibility for this program extends to a broad class of beneficiaries defined without reference to religion; and because the criteria for funding is applied neutrally. These elements show that this grant program is aimed solely at preserving historic structures and does not constitute an endorsement of religion by the government.</p> <p>Additionally, federally owned properties are not eligible for assistance from this grant (see Chapter 13, Part D.14 of the <i>Historic Preservation Fund Grants Manual</i>).</p>

Printed name of signatory: SERENA BELLEW

Date Signed: July 3, 2014

Hurricane Sandy HPF Grant Agreement

Appendix A

- Approved State Action Plan
- Approved SF-424A, SF-424C



Pennsylvania Historical & Museum Commission

Tom Corbett, Governor · Andrew E. Masich, Chairman · James M. Vaughan, Executive Director

Group 2 Application for the Hurricane Sandy Historic Preservation Recovery Funds

Applicant Information

Pennsylvania Historical & Museum Commission
Bureau for Historic Preservation
Keystone Commonwealth Building, 2nd Floor
400 North St.
Harrisburg PA, 17120

Primary Contact:

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Acting Deputy State Historic Preservation Officer
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Summary

The Pennsylvania Historical & Museum Commission, acting as the Pennsylvania State Historic Preservation Office, requests a total of \$1,500,000 in Hurricane Sandy Historic Preservation Recovery Funds for disaster-related relief and planning initiatives in 18 counties throughout the Commonwealth. The funds will be used to support new construction projects and reimbursement for selected projects to repair storm-related damage, undertake hazard mitigation planning, develop model guidance, and provide training and educational programs on disaster-related topics.

- 1) **Total number of National Register listings in the Hurricane Sandy impacted counties in your state.**

To be provided by the National Park Service.

- 2) **Total population located in the Hurricane Sandy impacted counties in your state.**

To be provided by the U.S. Census Bureau.

- 3) **Total land area of the Hurricane Sandy impacted counties in your state.**

To be provided by the Federal Emergency Management Agency.

- 4) **Total requested grant amount, with a budget showing each line item cost (you may use the SF424A form or create your own budget form if you wish to provide more detail).**

The Pennsylvania Historic Preservation Office requests \$1,500,000 in Recovery funds. These funds will be used to:

1. Update PEMA/FEMA- approved Hazard Mitigation Plans, including survey of important historic properties; \$1,100,000.
2. Define best practices in applying disaster mitigation to important historic buildings through demonstration projects - \$250,000.
3. Develop and implement educational and training projects related to disaster planning and response - \$50,000.
4. Fund/reimburse rehabilitation costs for qualified National Register properties identified as damaged by Sandy - \$100,000.

5) Statement of Hurricane Sandy's impacts to historic resources in Pennsylvania:

Overall Impacts of Sandy on Pennsylvania

Fortunately, the effects of Hurricane Sandy on Pennsylvania were, on the whole, relatively minimal, especially in comparison to neighboring states. But that is not to say that the Commonwealth was unaffected. Many areas of the state experienced significant wind damage from toppled trees as well as flooding along several of the state's numerous rivers and streams. Eighteen counties clustered along the Delaware, Schuylkill, Susquehanna, Juniata, and Allegheny Rivers were declared major disaster areas and collectively reported in excess of \$17 million in storm-related damage (PEMA damage reports). The effected counties range from the very sparsely populated Cameron County (2010 pop. - 5,085) along the upper reaches of the West Branch of the Susquehanna River to the City of Philadelphia (2010 pop. - 1,526,000) at the mouth of the Delaware River. Not surprisingly, the most significant damage in terms of dollars was reported in the heavily populated counties that border the Delaware and Schuylkill Rivers (\$13,875,000).

Impacts on Historic Resources

Mirroring the storm's broader impact trends, reported damage to known historic resources was also relatively minimal. There were few accounts in the media, and the PASHPO staff has sought to verify this by contacting their partners at the county and community level. Likewise, emergency management agencies in the affected counties have so far reported no damage to historic resources in an online survey that the PASHPO developed with the help of the Pennsylvania Emergency Management Agency (PEMA). PEMA has surmised that much of the damage sustained by municipal governments and public institutions has already been addressed by FEMA or insurance carriers.

Still, a few significant resources have reported damage to PASHPO and we assume that additional properties will be identified through more intensive marketing if/when grant funds are available. Two examples of properties known to have incurred damage include:

- Pearl S. Buck House (NHL) - The storm knocked over dozens of trees on this National Historic Landmark estate and damaged Pearl Buck's grave marker. In addition, the organization was

forced to cancel tours of Buck’s home while the storm debris was cleared away. (Please see attached article: *Sandy knocks down 40 trees, gravestone at Pearl Buck's estate.*)

- Washington Crossing Historic Park (NR/NHL) – The Bowman’s Hill Tower sustained damage to its electrical supply and several buildings in the Taylorsville section of the park were damaged by vandalism in the hours immediately following the storm.

Potential Impacts of Future Events and Hazard Mitigation Activities

The true impacts of Hurricane Sandy on Pennsylvania’s historic resources are not necessarily from the storm itself, but will arise from the hazard mitigation activities that will be carried out in response. The Sandy-affected counties are located in areas of the state that are prone to flooding, the most widespread impact from the storm. PEMA, as part of the State’s Hazard Mitigation Plan (2010), characterizes the risk that flooding presents to Pennsylvania’s communities as follows:

Flooding is the most frequent and damaging natural disaster that occurs throughout the Commonwealth. Many of Pennsylvania’s communities are located along waterways. This was due in part to our early reliance on water for transportation and then as an energy source for industry. Many low-lying areas were developed long before science identified these areas as flood plains. As such, homes and personal property, business and industry, and public infrastructure, such as roads, bridges, railways and public utilities may be at risk of flood related damage and loss. The immediate economic loss and community disruption from flooding is frequently compounded when flood-damaged businesses elect not to rebuild. Since 1970, there have been more than 20 presidentially declared major disasters triggered by flooding.

http://www.portal.state.pa.us/portal/server.pt?open=512&objID=4547&PageID=458202&mode=2&contentid=http://pubcontent.state.pa.us/publishedcontent/publish/cop_public_safety/pema/programs_services/community_and_state_planning/hazard_vulnerability/hazard_vulnerability.html

The risk of future flooding in the Sandy impacted counties is both real and measurable. The 2010 Pennsylvania Hazard Mitigation Plan reports that these counties have experienced significant numbers of state and Federal disaster declarations and reported flood events between 1950 and 2009.

County	Total Disaster Declarations (1950-2009)	Total Reported Flood Events (1950-2009)
Bedford	34	47
Bucks	54	134
Cameron	27	14
Dauphin	38	50
Forest	21	17
Franklin		
Fulton	29	19
Huntingdon	31	60
Juniata	30	16

Monroe	39	64
Montgomery	46	141
Northampton	37	81
Philadelphia	47	128
Pike	32	23
Potter	31	21
Somerset	36	45
Sullivan	33	18
Wyoming	37	37

Source: Pennsylvania Emergency Management Agency

In fact, since 2003 seven of the thirteen weather-related Federal Major Disaster Declarations in Pennsylvania involved named storms like Sandy. Most notably, Tropical Storms Irene and Lee combined to flood several historic districts along the North Branch of the Susquehanna River in 2011, including Athens and West Pittston. (Please see the attached press release: *Governor Corbett Tours Northeastern Pennsylvania, Views Recovery Efforts from Hurricane Irene, Tropical Storm Lee in Columbia, Luzerne Counties*). FEMA and the PASHPO continue to address the effects of the flooding caused by those storms through the Section 106 process and to consult on hazard mitigation efforts. Similarly, the PA Department of Conservation and Natural Resources has worked steadily over the past ten years to repair several sections of the National Historic Landmark Delaware Canal that were breached when the Delaware River overflowed its banks following Ivan (2004) and spring flooding in both 2005 and 2006. Given these trends, it is reasonable to assume that flooding will continue to be a major threat in these counties.

In response to these threats, PEMA and the counties will spend significant portions of the recovery funds from Sandy and future storms implementing mitigation strategies that will reduce the likelihood that similar damage will occur in the future. The preferred flood mitigation strategy for PEMA and FEMA has been acquisition and demolition of vulnerable structures, many of which are in historic occupation areas, including numerous National Register eligible and listed districts. An assessment of the status of mitigation planning guidance and standards of practice conducted in conjunction with this grant application revealed that cultural resources are currently not addressed in planning processes, and only considered during environmental review processes (i.e. Section 106) after the spending priorities have been set. This means that historic communities either become targets for elimination or are left out of mitigation plans because of the real and perceived obstacles to permitting.

PASHPO has determined that there is a strong need to assist counties in: identifying historic properties that may be vulnerable to hazards; training counties in best-practice approaches to repairing and rehabilitating damaged historic properties; develop training systems applicable to disaster responses to damaged historic properties as well as provide reimbursement assistance to those properties that were damaged by Sandy.

PASHPO made this assessment through review of county Hazard Mitigation plans, county comprehensive plans and through discussions with affected municipalities, property owners and PEMA and FEMA officials.

- 6) **Discuss your state’s plan to further assess Hurricane Sandy’s impacts on historic resources. If you have completed your assessment of damage please let NPS know that and provide the appropriate documentation (damage reports, summary of who was contacted, data on costs of repairs, etc.) to support that statement. If you are in the process of completing your assessment please provide NPS with a statement of where you are to date in your work and what your plan is for completing a review of Hurricane Sandy’s impacts.**

PASHPO will continue consultation with PEMA, county officials, municipalities and property owners to determine the most effective methods to plan for and implement appropriate disaster responses as they apply to historic properties. PASHPO is reviewing county Hazard Mitigation Plans and assessing existing historic property inventories to indentify counties with the greatest need.

- 7) **Based on the damage to historic resources you know to date what are your proposed solutions or projects to remedy those impacts. Specifically, please provide project location data, identification of the issue or damage, proposed solution to said damage, and approximation of cost for the solution or repair. Please provide this data for every project you have identified to date, you may use the provided chart below to provide NPS with that data (please add additional rows as needed) or attach project sheets if they are more helpful in fully explaining the issue/project.**

Task 1 -- Update PEMA- and FEMA- approved Hazard Mitigation Plans, including survey of historic properties - \$1,100,000

The PASHPO assessment of Hurricane Sandy damage in many of the affected counties made clear that delivering appropriate services to historic properties in the aftermath of a disaster is nearly impossible due to a lack of basic information about historic properties. In many of the affected county’s comprehensive plans the importance of historic properties is made clear through goals and policy objectives. However what is also made clear is that many of these goals and recommendations are being made on the basis of incomplete or terribly outdated survey information. What’s more, is that with the exception of one county (Bucks), cultural resources are completely absent from the county-level Hazard Mitigation Plans mandated by PEMA/FEMA. Therefore, there is an explicit need to assist Sandy-affected counties in undertaking surveys of historic buildings so that that they may be more thoughtfully addressed in County disaster mitigation plans and strategies.

The PASHPO continues to study the issue, and will prioritize survey areas in accordance with need. However several parameters are already known. Among the counties designated as eligible for Hurricane Sandy funding, several have more advanced historic preservation programs than others. Philadelphia county and the Philadelphia metropolitan- area counties of Bucks and Montgomery have robust historic preservation programs as a matter of course, which includes ongoing historic buildings surveys throughout the counties. The need for comprehensive building survey in these counties is negligible. In the fifteen remaining qualified counties some larger areas are known to have lesser needs for building survey due to ongoing municipal historic preservation programs such as that in Bethlehem in Northampton County. Other counties, such as Forest and Cameron, have had virtually no historic building survey completed. Additionally, further study is needed to determine if there are areas within certain counties more prone to disaster than others which should be prioritized.

Task 1A – Historic Resource Survey

Survey priorities and needs within selected counties will be further clarified in partnership with PEMA, county and municipal officials in affected counties. Survey methodology will follow National Park Service and PASHPO guidelines as appropriate and will be compatible with PASHPO GIS requirements. Surveys will be undertaken by qualified professionals and the program will be coordinated by PASHPO survey and registration staff. However, if full funding is awarded, as appropriate, there will be need to contract with a survey coordinator to manage the day-to-day workings of the project. Given known populations, existing inventories and accessibility, estimated survey costs per county, including outreach and consultation with public officials and the public at large exceed \$80,000 per county.

Project products will include at minimum:

- County, municipal, PEMA and public consultation & outreach programming
- Historic building survey inventory forms for selected counties
- Survey maps for each county
- Photography of each inventoried property
- A final survey report for each county which will include at minimum:
 1. A synopsis of the purpose and need of the survey, including but not limited to the need for hazard mitigation/disaster planning
 2. A brief developmental history of each county surveyed;
 3. A report of findings;
 4. Recommendations for integration into county disaster/hazard mitigation plans

All data will be incorporated into the PASHPO's cultural resources GIS where it will be accessible by the public. All data and survey information will also be made available to PEMA and the counties.

Task 1B – Hazard Mitigation Planning

The second component of this task will be to work with selected counties to incorporate historic resources into the PEMA/FEMA – mandated Hazard Mitigation Plans (HMP) for the county. These plans are required by the emergency agencies in order to qualify counties and municipalities for Federal hazard mitigation grants, typically made available following a major disaster declaration. States may spend up to 15% of Federal emergency response funds on mitigation activities identified in the plans.

County-level HMPs are typically boiler-plate documents developed in response to the hazards and priorities identified in the state HMP. The State-level HMP is updated every 3 years and county plans are updated on a 5 year cycle. The Pennsylvania state HMP is being updated in 2013 and will undergo another revision in 2016; five of the designated counties are either embarking on HMP updates in 2013 or will be due for an update in 2014.

PASHPO staff have made several key connections at FEMA and PEMA, as well as the consultants developing the 2013 HMP on behalf of the agency. Each party has acknowledged the lack of adequate information about cultural resources in the document as well as the benefits of being more considerate of

these resources in the planning stage, rather than simply focusing on Section 106 reviews in the response stage. Even so, it is unlikely that there is adequate time or resources to effect significant change in the 2013 document. Instead, PASHPO will work to advance its relationships with these entities in advance of the 2016 update, so that we might amend the standard template for future updates and spread the influence to county-level plans.

In the interim, PASHPO will reach out to the counties who are currently developing updated plans, or who will be doing so in the near future to offer technical assistance, data, and other resources about cultural resources and historic preservation issues. The fact that some counties have either recently completed HMP updates, or are not slated to do so for several years may, in fact, be a benefit, as it will afford PASHPO the opportunity to complete historic resource surveys in those jurisdictions, thus entering the planning stage with more accurate and complete data.

PEMA has indicated that, in their opinion, the most effective way to assist counties with their planning efforts is through direct technical assistance. PASHPO will hire a staff person (or contractor) to advise PEMA and selected counties throughout the HMP update process on how to thoughtfully incorporate cultural resources into the plans. This person will participate actively in the county-level planning process, coordinate survey and data transmission tasks, and review and revise relevant portions of the planning documents.

PASHPO has a successful track record of providing this type of assistance to public agencies throughout the Commonwealth. In 2010, our office launched an innovative partnership with the Pennsylvania Department of Transportation (PennDOT) and Federal Highway Administration (FHWA) to provide Metropolitan and Regional Planning Organizations (MPO/RPO) with similar levels of guidance in the development of Long Range Transportation Plans (LRTPS). When an MPO/RPO launches and LRTP update process, PASHPO regional planning staff reach out to the local planning team to help them identify cultural resource issues, provide and interpret data, and review the draft documents. The PASHPO also advises PennDOT and FHWA in the LRTP review and adoption phase about the level of consultation the MPO/RPO has had with PASHPO about cultural resources, and what effects the plan is likely to have on historic places. This model has been hugely successful and has resulted in several MPO/RPOs changing their thinking about cultural resources. We would replicate this program for disaster planning processes and provide a dedicated liaison to the participating county Emergency Management Agencies.

Task 2 - Define best practices in applying disaster mitigation to important historic buildings through demonstration projects - \$250,000

In addition to assisting counties with strategic planning, PASHPO has identified a need to develop more detailed guidance related to specific disaster response and mitigation activities. While a critical first step in better protecting historic resources from disasters is ensuring consideration at the highest planning levels, it is equally necessary to provide guidance and models for implementing those recommendations at the building and community levels. To that end, PASHPO will undertake studies to explore the complex issues surrounding several common mitigation strategies and their impacts on historic resources. The goal of these projects will not only be to articulate the problems, but identify solutions that can be applied to various building types and locations.

One of the key issues to be explored is the elevation of historic buildings out of flood plains. The technical aspects of raising buildings are relatively simple, but doing so in a manner that does not render them ineligible for the National Register and thus result in an adverse effect is much more nuanced and complex. In addition to the hazard mitigation funding issues, this will become a more pervasive and urgent need in the future as a result of the 2012 changes to the National Flood Insurance Program. As more property owners in flood prone areas seek to lower their rising premiums, they will need to balance the options of elevation or buyout. Given the large percentage of Pennsylvania that is within mapped floodplains or flood-prone areas, the potential impacts of these changes may be staggering.

The studies will focus on resources and communities within Sandy-impacted counties, but will be applicable statewide. Pennsylvania already has a good model for this type of plan. In 2002 a Demonstration Study was prepared for the Borough of Milton with funding from FEMA's Hazard Mitigation Grant Program. (Please find attached the Project Summary for: *Looking to the Future – Alternatives for Reducing Flood-Related Damage in Historic Communities, Milton, PA.*) The goal of the study was to “illustrate how historic preservation can be successfully factored into pre-disaster planning,” particularly in small Pennsylvania communities. In particular, the study hoped to identify alternatives that would avoid the adverse effects of common flood mitigation measures, such as flood walls, demolition, relocation, and elevation.

The PASHPO will use Sandy relief funds to engage consultants and other experts to conduct exploratory studies and technical reports on building elevation and related issues and make the results widely available across the Commonwealth.

Task 3 – Develop and implement educational programs related to disaster planning and response - \$50,000

At various stages of the planning and study projects identified in Tasks 1 and 2, PASHPO will provide educational programs and training seminars on topics related to disaster planning. These programs will help educate community leaders and emergency management professionals participating in the planning projects about cultural resource issues, resulting in more informed and robust participation in the process. Topics may range from basic information about historic preservation (National Register, survey, Section 106, etc.) to more technical issues related to engineering and design. It will also be important to provide training opportunities for the cultural resource community that address disaster management practices. This cross-training is essential if the different constituencies are to be expected to understand and collaborate with each other.

PASHPO will work with a variety of partners to develop and implement these programs. The National Alliance of Preservation Commissions Biannual Forum will be in Philadelphia in July 2014 and will provide a ready venue for a track of tours and programs related to disaster planning. The proximity of Philadelphia to the other Sandy-affected states will provide easy access to emergency management and cultural resource professionals from the region, thus casting a wide net for audience and content. Sandy funds may be used to provide travel scholarships for selected participants, speaker fees, materials, or transportation costs. We will also explore partnership opportunities with the Pennsylvania Chapter of the American Planning Association and the Pennsylvania Local Government Training Partnership.

Task 4 - Reimburse rehabilitation costs for qualified National Register properties identified as damaged by Sandy - \$100,000.

The PASHPO will continue working to gather information on historic properties in the affected counties that were damaged as a result of Sandy, and notify county emergency management agencies and private property owners of the available reimbursement grants, should NPS award Pennsylvania a share of this funding. Because there have been few reports up to this point, the staff is not planning to establish a formal subgrant application process. Instead, when the PASHPO is informed about damage to historic properties, the staff will evaluate the properties and the proposed work on a case-by-case basis:

- Is the property listed or eligible for listing in the National Register?
- Will the proposed work repair or restore the resource's character-defining features?
- Are there estimated costs that were not covered by the property owner's insurance?

If the property and project meet these eligibility requirements, then the staff will develop a budget for that particular project and reimburse the owner for the eligible expenses once the work is completed. The staff will review the proposed rehabilitation and will work closely with the property owner and/or their architect or contractor to ensure that the project meets the Secretary of the Interior's *Standards for Historic Preservation Projects*.

This task will take priority in the overall budget. So, in the event that the total amount requested for these projects exceeds \$100,000, the staff plans to reallocate funding from Tasks 1 and 2 to cover all eligible repair and rehabilitation work on historic properties.

8) Provide NPS with a plan on how your state office anticipates managing and monitoring these grant funds. Specifically, please provide us with the following information:

- Does your state already manage a development grant program?
- How do you plan to award/select your grant projects?
- What is your proposed timeline for selecting, awarding, and beginning your grant projects?
- Discuss your anticipated increase in workload and how existing staff will manage this increase or does your state office plan to hire additional staff to manage these projects? If so how many positions are needed and for how long will they be funded?
- Provide your plan for supporting and monitoring the grant work for the duration of the grant and easement terms (note the following easement terms are required: up to \$50,000 a five year covenant/easement, \$50,001 up to \$99,999 a ten year covenant/easement, \$100,000 and above a twenty year covenant/easement).

As explained under Task 4, the PASHPO is not planning to establish a formal application process for repairs to Sandy storm damage. Instead, proposed or completed projects will be reviewed by the staff on a case-by-case basis to ensure that they meet the funding requirements. Because these funding requests will be accepted on an ongoing basis and will not be competitive, the PASHPO anticipates that it will take between four and five months to process an application, prepare an agreement with the owner, and obtain all the necessary signatures.

The PA Historical and Museum Commission's (PHMC) Keystone Historic Preservation Grant Program offers competitive grants to local governments and nonprofit organizations for rehabilitating historic

properties that are open to the public. The existing Grant Program staff can easily adapt the standard Keystone Grant agreement, reporting and reimbursement forms, and 15-year preservation covenant to address the requirements of this new program. There are approximately 400 properties on file with the PHMC that are currently covered by historic preservation covenants, so it is expected that any new covenants added as a result of this program can be monitored by the existing staff.

The PASHPO plans to develop projects proposed under Tasks 1 and 2 in collaboration with county or regional partners. The process for selecting subgrant projects will be similar to the one the staff used to successfully manage two planning and design initiatives funded by the Preserve America Grant Program (now complete). In late 2013 the office will solicit letters of interest for updating county hazard mitigation plans, conducting historic resource surveys, and planning disaster mitigation demonstration projects. The county proposals will be evaluated by the staff in early 2014 based on the following priorities:

- Support projects in counties where little historic resource survey or planning work has been done in the past
- Choose innovative projects or ones that may serve as a model for work in other counties
- Seek projects that will involve multiple partners with diverse expertise
- Select applicants that have the capacity to effectively oversee a project that meets federal funding requirements

Once the PASHPO staff has approved a proposal for funding, they will work with the county or organization to develop a scope of work and budget that meets the agency's survey requirements and planning expectations. In the case of the Preserve America grants, the agency contracted with a statewide or regional organization to administer the fiscal aspects of the subgrant program, reducing the paperwork and time needed to get the projects up and running. If similar arrangements cannot be made for this program, then it could take three to four months for the PHMC to fully execute an agreement before the applicant would be permitted start their project.

As mentioned above, the PASHPO plans to hire one staff person to manage these local projects for a period of four years. Employing a dedicated staff person will allow the agency to better monitor the progress of the projects, and it will ensure that the selected consultants gather useful data on historic resources and make realistic recommendations for hazard mitigation and disaster response and recovery in flood-prone, historic neighborhoods and rural areas.

The PASHPO staff's experience in managing a variety of state preservation planning grants, federal CLG subgrants, and Preserve America funding puts the agency in the position to effectively oversee both the fiscal and programmatic requirements of this program.

Required Attachments:

- Non-Construction Assurances
- Construction Assurances
- SF 424(A)

Budget Summary

TASK	ACTIVITY	BUDGET
1	Update PEMA- and FEMA- approved Hazard Mitigation Plans, including survey of historic properties	\$ 1,100,000
2	Define best practices in applying disaster mitigation to important historic buildings through demonstration projects	250,000
3	Develop and implement educational programs related to disaster planning and response	50,000
4	Reimburse rehabilitation costs for qualified National Register properties identified as damaged by Sandy	100,000
TOTAL		\$ 1,500,000

http://www.phillyburbs.com/my_town/pennridge/sandy-knocks-down-trees-gravestone-at-pearl-buck-s-estate/article_887aeeff-fc79-5aed-8f83-2cb6f0cf050d.html

Sandy knocks down 40 trees, gravestone at Pearl Buck's estate

By Theresa Hegel Staff writer | Posted: Tuesday, November 27, 2012 6:05 am

Pearl Buck's 68-acre estate is no longer quite as wooded as it once was.

When Superstorm Sandy swept through the historic landmark in Hilltown last month, it knocked down about 40 trees and toppled the Pulitzer Prize-winning author's gravestone.



"Honestly, it looks like a tornado hit," said Janet Mintzer, president of Pearl S. Buck International, the nonprofit that maintains the estate.

The nonprofit had to cancel tours of the house for a week after Sandy because the driveway was obstructed with tree limbs and tangled with electrical wires, she said. The walking trail used by school groups to visit Buck's grave is no longer accessible either.

Though the organization has begun to clean up the mess, there's still a lot of work to be done.

"Every time I turn down the driveway, my heart breaks," Mintzer said of the destruction. "It's going to be expensive and time-consuming (to fix)."

She didn't have a cost estimate for the damage because the organization's insurance company will only pay to remove trees that fell on structures, not ones that fell on the grounds.

The nonprofit may be able to file a claim to repair Buck's headstone, and is working on getting a quote from a historic grave restorer for that work, Mintzer said.

The rest of the cleanup will be done by Pearl Buck employees and volunteers.

PSBI has a full-time facilities director and a part-time groundskeeper who are trying to make a dent in the damage, but they can't focus all their time on that work, Mintzer said.

So, the nonprofit has set up two work days, both Fridays, for volunteers to come help with cleanup: Nov. 30 and Dec. 7.

Newtown Rentals has donated a stump grinder and shredder to the organization for the events, she said.



Rick Kintzel / Staff Photographer

Mintzer said the nonprofit hopes to get a “mighty chunk” of the yardwork done on those two days.

Though Sandy caused a lot of destruction on the grounds, Mintzer said she is grateful Buck’s historic home and the other buildings were not damaged, especially since the organization recently completed a multi-million dollar renovation project on the house.

If the storm had come at another time, that might not have been the case. There used to be two old trees growing very close to Buck’s home, and during the renovation, the

architects and engineers recommended removing them to prevent them from falling onto the house, Mintzer said. The nonprofit followed their advice and had those trees removed.

“Everyone was saying that if we hadn’t done that, it would have been the end of the house,” Mintzer said.

Pearl S. Buck International operates the Pearl S. Buck House; Opportunity House, which provides support to children in Southeast Asia; and Welcome House, an international adoption program.

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

* 2. Type of Application:

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State: 12/16/2013

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name: Pennsylvania Historical and Museum Commission

* b. Employer/Taxpayer Identification Number (EIN/TIN):

01-0661737

* c. Organizational DUNS:

7965739540000

d. Address:

* Street1: 400 North Street, 2nd Floor

Street2:

* City: Harrisburg

County/Parish:

* State:

PA: Pennsylvania

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code: 17120-0093

e. Organizational Unit:

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mrs.

* First Name: Andrea

Middle Name: Loren

* Last Name: MacDonald

Suffix:

Title: Preservation Services Division Manager

Organizational Affiliation:

PHMC - Bureau for Historic Preservation

* Telephone Number: 717-787-4215

Fax Number:

* Email: amacdonald@pa.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

National Park Service

11. Catalog of Federal Domestic Assistance Number:

15.957

CFDA Title:

Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Disaster-related relief and planning initiatives in 18 Pennsylvania counties.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,500,000.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="1,500,000.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (if "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
 Middle Name:
 * Last Name:
 Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Hurricane Sandy	15.957	\$	\$	\$ 1,500,000.00	\$ 0.00	\$ 1,500,000.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 1,500,000.00	\$ 0.00	\$ 1,500,000.00

SECTION B - BUDGET CATEGORIES					
6. Object Class Categories (HPF & Match)	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) (Hurricane Sandy HPF)	(2)	(3)	(4)	
a. Personnel	\$ 135,000.00	\$	\$	\$	\$ 135,000.00
b. Fringe Benefits	40,000.00				40,000.00
c. Travel	5,000.00				5,000.00
d. Equipment	0.00				0.00
e. Supplies	0.00				0.00
f. Contractual (grantee)	1,220,000.00				1,220,000.00
g. Construction (development)	100,000.00				100,000.00
h. Other (non-construction sub-grants)					0.00
i. Total Direct Charges (sum of 6a-6h)	1,500,000.00	0.00	0.00	0.00	1,500,000.00
j. Indirect Charges					0.00
k. TOTALS (sum of 6i and 6j)	\$ 1,500,000.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 1,500,000.00
7. Program Income	\$ 0.00	\$	\$	\$	\$ 0.00

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Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Hurricane Sandy HPF	\$ 0.00	\$ 0.00	\$	\$ 0.00
9.	0.00	0.00		0.00
10. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00
11. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 150,000.00	\$ 0.00	\$ 50,000.00	\$ 50,000.00	\$ 50,000.00
14. Non-Federal	0.00	0.00	0.00	0.00	0.00
15. TOTAL (sum of lines 13 and 14)	\$ 150,000.00	\$ 0.00	\$ 50,000.00	\$ 50,000.00	\$ 50,000.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. Hurricane Sandy HPF	\$ 675,000.00	\$ 675,000.00	\$	\$
17. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
18. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
19. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
20. TOTAL (sum of lines 16-19)	\$ 675,000.00	\$ 675,000.00	\$ 0.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	22. Indirect Charges:
23. Remarks: (List any equipment over \$5000/item)	

BUDGET INFORMATION - Construction Programs

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.

COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$.00	\$.00	\$ 0.00
2. Land, structures, rights-of-way, appraisals, etc.	\$.00	\$.00	\$ 0.00
3. Relocation expenses and payments	\$.00	\$.00	\$ 0.00
4. Architectural and engineering fees	\$.00	\$.00	\$ 0.00
5. Other architectural and engineering fees	\$.00	\$.00	\$ 0.00
6. Project inspection fees	\$.00	\$.00	\$ 0.00
7. Site work	\$.00	\$.00	\$ 0.00
8. Demolition and removal	\$.00	\$.00	\$ 0.00
9. Construction	\$ 90,000.00	\$.00	\$ 90,000.00
10. Equipment	\$.00	\$.00	\$ 0.00
11. Miscellaneous	\$.00	\$.00	\$ 0.00
12. SUBTOTAL (sum of lines 1-11)	\$ 90,000.00	\$ 0.00	\$ 90,000.00
13. Contingencies	\$ 10,000.00	\$.00	\$ 10,000.00
14. SUBTOTAL	\$ 100,000.00	\$ 0.00	\$ 100,000.00
15. Project (program) income	\$.00	\$.00	\$ 0.00
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$ 100,000.00	\$ 0.00	\$ 100,000.00
FEDERAL FUNDING			
17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share.	Enter eligible costs from line 16c Multiply X <u>100.00</u> %		\$ 100,000.00

Assurances—Non-Construction Programs

OMB Approval No. 0348-0040


Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address provided by the sponsoring agency.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.O. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 36701 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a and 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (e) evaluation of flood hazards in flood plains in accordance with EO 11988; (e) assurance of

- project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
 13. Will assist the awarding agency in assuring compliance with Section 106 of the national Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984 or OMB Circular No. A-133, Audits of Institutions of Higher Learning and other Non-profit Institutions.
 18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

Signature of Authorized Certifying Official 	Title Acting Deputy State Historic Preservation Officer
Applicant Organization Pennsylvania Historical and Museum Commission	Date Submitted July 12, 2013

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

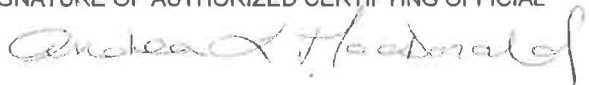
As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the

National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Acting Deputy State Historic Preservation Officer
APPLICANT ORGANIZATION Pennsylvania Historical and Museum Commission	DATE SUBMITTED July 12, 2013